OCALA BREEDERS' SALES COMPANY

P.O. Box 99 Ocala, FL 34478 Fed-Ex and Express Mail: 1701 SW 60th Ave. Ocala, FL 34474 (352) 237-2154 Fax: (352) 237-3566 E-mail: obs@obssales.com website: www.obssales.com

Dear Consignor:

December 12, 2018

A total of 625 horses are cataloged for the 2019 OBS Winter Mixed Sale including 186 in the Consignor Preferred session, 339 in the Open session and 100 Horses of Racing Age plus supplemental entries. The sale will be held over two days, January 29-30. The Preferred session will be held Tuesday January 29 with the Horses of Racing Age immediately following the Preferred session. The Open session will be held Wednesday January 30. Catalogs are available on the OBS website at obssales.com and will be shipped from our printer beginning Monday, January 7th. Additionally, iPad users may download the OBS January Mixed sale catalog in its entirety using the equineline sales catalog app.

| Sale Schedule | | | |
|---|---|---------------------------------|--|
| Preferred Session: | Tuesday, January 29 | (001 – 186) | 11:00 AM start |
| Horses of Racing Age: | Tuesday, January 29 | (187 - 286) Plus Supplements | immediately after Preferred session |
| Open Session: Under Tack Show (optional) | Wednesday, January 30 Monday, January 28 | (351 – 689) | 11:00 AM start 10:00 AM |

REPOSITORY

THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY.

Repository Hours for the January Sale:

Thursday, January 24 – Monday, January 28: Tuesday, January 29 – Wednesday, January 30: Thursday, January 31: 8:00 AM - 4:00 PM 8:00 AM - end of sale 8:00 AM - noon

RADIOGRAPHS MUST NOT BE TAKEN PRIOR TO <u>JANUARY 8TH</u>. ANY HRA HORSES NOT BREEZING MAY CONSIDER SUBMITTING RADIOGRAPHS PRIOR TO THE DEADLINE.

| Sale | Sale Date | Submitted by | | ale Date Submitted by | | by Available to View | |
|--------------|-------------------|----------------|---------|-----------------------|----------|----------------------|--|
| Mixed Horses | selling Jan 29-30 | Friday, Jan 25 | 3:00 pm | Sunday, Jan 27 | 12:00 pm | | |
| HRA | selling Jan 29 | Monday, Jan 28 | 5:00 pm | Tuesday, Jan 29 | 10:00 am | | |

PLEASE REVIEW THE ATTACHED INFORMATION REGARDING THE REPOSITORY AND THE CHANGES TO THE OBS CONDITIONS OF SALE. THE INFORMATION MAY ALSO BE FOUND online at <u>www.obsales.com</u> under "Consignor Information".

 REMINDER!

 Carefully review catalog pages for accuracy, especially state-bred and stakes engagements. You, the consignor, are responsible and any errors could result in the return of the horse.

 Notify Lauren Marks of any corrections as soon as possible.

Horses of Racing Age Section

Supplemental entries for the HRA section will close January 15. Horses entered by the regular deadline will appear in the main catalog. Supplemental entries will appear in a separate catalog available on the sales grounds as well as on the OBS website and the equineline sales catalog app for the Ipad. Contact Lauren Marks for more information.

The optional under tack show will be held on Monday, January 28. OBS will video the under tack show which will be shown live online. The edited videos will be available online, on the video kiosks and in the video room on the OBS Grounds. <u>VIDEOS</u> OF PREVIOUS RACES WILL BE INCLUDED IF PROVIDED TO OBS BY THE CONSIGNOR.

Horses in the Racing Age section will be stabled separately from the mixed sale horses.

Horses three years old or older may gallop or breeze at least 3/8 of a mile for an official time. Two year olds may gallop but will not be timed.

Horses may breeze on Lasix ONLY if they have previously raced on Lasix. This medication must be included on medication sheets.

EHV-1 Guidelines

As many horses are transported to locations requiring an EHV-1 statement on the Health Certificates, **you must submit EHV-1 vaccination records**. Complete the attached form signed by your veterinarian. All horses shipping from out of state must be accompanied by a negative coggins and a health certificate.

Equine Piroplasmosis Testing

While an Equine Piroplasmosis test will <u>not</u> be required for the 2019 January Mixed sale, horses traveling from out of state should contact the Florida Department of Agriculture (850- 410-3800) for any additional documentation needed to enter the state of Florida.

IT IS IMPORTANT THAT OBS HAS THE FOLLOWING DOCUMENTS ON FILE:

- Coggins (dated within 6 months of date of sale)
- EVA Test (dated within 90 days of date of sale)
- EHV-1 vaccination record (dated between 14 and 90 days from sale)
- Authorized Agent Forms

- Jockey Club Certificate
- Broodmares:
 - Stallion Service Certificate (Printed Version, not online Version)
 - Breeding Status Reports OBS FORM only (dated within 10 days of sale)

PLEASE IDENTIFY ALL HORSES ON COGGINS AND EVA TESTS BY REGISTERED NAME AND/OR DAM & FOALING YEAR, NOT BY NICKNAME OR BARN NAME.

2018 Digital Foal Registrations

Digital certificates need to be assigned to OBS. If you have not sent in the original completed application, please bring it to OBS **IMMEDIATELY**. This will insure that the registration certificates will be assigned with top priority and the Jockey Club can identify it as an OBS Sale horse. If you have sent in the application and have not yet received the Jockey Club Certificate and do not have a copy of the application, please contact Sam Cook, **IMMEDIATELY**. For more information regarding digital certificates please see the enclosed flyer.

DNA Typing for foals of 2018

In order to expedite registration at the Jockey Club, DNA kits must be completed and on file with the Jockey Club by January 1st. Horses will not be sold without proper documentation at the Jockey Club. Please call OBS or the Jockey Club if you have not received the DNA kit.

Stallion Service Certificates

In order to sell In-Foal Mares, OBS must have the <u>PREPRINTED</u> Stallion Service Certificates on file. **Stallion Service Certificates** should not be released online for sale horses. *Stallion Syndicate Managers will not automatically forward the Stallion Service Certificate to us, so please contact them.* Deduction from Proceeds forms for Stallion Service Certificates must be completed by January 11TH. Please be reminded a reserve must be placed on the horse at least in the amount of the stud fee, unless stated otherwise. If you contact the Jockey Club regarding stallion service certificates or DNA kits, please inform the Jockey Club representative the horse is in the OBS January Sale. Please take note of the Jockey Club representative that you speak with.

PLEASE PROVIDE PAPERWORK PROMPTLY. NOTIFY SAM COOK OF ANY PROBLEMS!! <u>THE DEADLINE FOR ALL</u> <u>PAPERWORK TO AVOID A \$100 LATE FEE IS FRIDAY, JANUARY 18th. PLEASE CONTACT YOUR BANK, THEY DO NOT SEND</u> PAPERS AUTOMATICALLY. OVERNIGHT PACKAGES SHOULD BE SENT TO OUR STREET ADDRESS.

Foals of 2018 are eligible for the **2020 FLORIDA SIRE STAKES** if their sire was a FTBOA registered stallion standing in Florida in 2017 AND they are a registered FTBOA Florida-bred foal of 2018. For more information contact the FTBOA. A list of eligible foals may be available and announced as they go through the ring.

STABLING

COOPERATION AMONG CONSIGNORS IS APPRECIATED. Barn assignments are listed below. Stalls will be assigned at a later date. Stalls will be available by Wednesday, January 23 (if stalls are needed sooner please call the office to make arrangements.) OBS will provide straw bedding. **Shavings are not permitted**. Please do not use a stall or tack room without approval by OBS. Any stall not occupied by a sale horse may be reassigned. Contact Tracy Alderson for stabling information. The OBS feed store located at the stable gate will be open for feed, hay and supplies for your convenience from 7:30 AM to 5:30 PM weekdays, 7:30 AM to 4:30 PM Saturday, and 9:00 AM to 2:00 PM on Sunday, January 27.

Please be available at your barn two hours prior to the sale to expedite the process and provide assistance to our hip taggers. Please be reminded that if the buyer does not pick up the horse or make arrangements for its care, the consignor should insure the horse will be cared for. If you can no longer care for the horse, notify OBS so we may look after the horse. Do not remove the sales halter or hip tags from the horse. Halter tags may be picked up at the stable gate and returned there after the sale.

PLEASE REVIEW ALL OF THE ATTACHED INFORMATION, AND CONTACT US FOR ANY ASSISTANCE.

Sincerely,

Tod Wojciechowski Director of Sales

STABLING INFORMATION

CONSIGNOR:_____

Mixed Sessions

BARN:_____

Horses of Racing Age Session

BARN:_____

OBS JANUARY 2019 SALES INFORMATION

STALLS: Do not use a stall or tack room without OBS approval. Stalls are assigned for sale horses only. Please cooperate with fellow consignors if tack rooms/stalls need to be shared.

MEDICATION, RIDING CROPS, HORSESHOES, and PROHIBITED PRACTICES: See attached policy.

POST-BREEZE TESTS: Blood tests will be performed on horses selected at the sole discretion of OBS at all under tack shows. Blood will be drawn at the test barn (Barn 12A) and results should be received within 48 hours. Any horse that receives undisclosed medication which is identified by the test is subject to withdrawal or return. Positive results will be submitted to the Florida Department of Agriculture as required.

<u>POST-SALE TESTS</u>: The purchaser may request a post-sale blood test within 24 hours of purchase according to Florida law. In addition, OBS policy allows the buyer to request a test for exogenous anabolic steroids at the time of purchase.

MEDICATION REPORTING: Medication reports must be submitted to OBS on a daily basis starting 72 hours prior to the first under tack show and continuously through sale day. Medication may be administered according to state guidelines described below while also abiding by further restrictions imposed by OBS policy. These forms must be completed accurately and signed by your veterinarian. Reports must be submitted to the office or Repository **within 12 hours of treatment or 1 hour prior to the sale session or under tack show**, whichever is earlier. Medication reports for each horse will be in the Repository and available to prospective buyers upon written request. Copies of those requests are available to the consignor.

SUMMARY OF MEDICATION REGULATIONS (FLORIDA CHAPTER 535.08): No person shall administer to a thoroughbred horse offered at a licensed public sale any substance recognized as an injectable, oral, or topical medication within 72 hours of the start of the sales session (or under tack show) unless the person is a licensed veterinarian and the medication is therapeutic or necessary for the treatment or prevention of an illness or injury and is reported to the sales organization. A separate medication form signed by a veterinarian must be submitted individually for each horse treated. Copies of 535.08 are available at OBS.

<u>REPOSITORY</u>: THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY. PLEASE REVIEW THE ENCLOSED RULES AND INFORMATION REGARDING THE REPOSITORY AND THE CHANGES TO THE OBS CONDITIONS OF SALE. The information may also be found on the OBS website.

EHV-1 VACCINATION RECORDS: OBS requires the EHV-1 vaccination records form signed by a veterinarian to be submitted.

EQUINE PIROPLASMOSIS TESTS: While an Equine Piroplasmosis test will **not** be required for the 2019 January Mixed sale, horses traveling from out of state should contact the Florida Department of Agriculture (850- 410-3800) for any additional documentation needed to enter the state of Florida.

<u>UNDER TACK SHOW</u>: Horses must be presented as scheduled. Changes must be approved prior to the under tack show. Please keep your horse to the side and out of the way while waiting for your rider. A horse may only appear once during the under tack show.

Under Tack Forms must be submitted by noon, FRIDAY, JANUARY 25th.

RACETRACK SCHEDULE FOR JANUARY SALE

Main track hours are 6:30 AM until noon, except:

Monday January 28: open from 6:30 AM until 9:00 AM due to the under tack show.

Training track will remain open from 6:30 AM until noon on all days.

TRACK AND UNDER TACK RULES: Please see attached notice.

SADDLE TOWELS/HIP TAGS/HALTERS: Be available at your barn two hours prior to the sale to assist our hip taggers. Do not remove SALE HALTERS or HIP TAGS. \$25 will be charged for halter tags or saddle towels not returned to the OBS Stable Gate.

<u>CARE OF HORSE AFTER SALE:</u> The consignor is responsible for the care of the horse until the buyer picks up the horse or for at least 48 hours after the sale. Notify OBS after that time so that we may look after the horse.

NO SHAVINGS are allowed. OBS will provide straw bedding.

MUCK PICK - UP SCHEDULE: Muck wagons will be removed starting at 8:30 AM daily and returned at the end of the day.

SIGNS/STALL CARDS: Signs may not be hung in the shed row or by an entrance/exit of the barn. OBS will assist you in hanging signs from the barns. **OBS is not responsible for signs left after the sale**. Consignors are responsible for their own stall cards.

GATES: Please bring in your sales tack, feed, and equipment in early morning or after the sale. Trucks will not be allowed in the barn area after 9:00 AM each morning so consignors may show their horses

NO DOGS are allowed on the sale premises

OCALA BREEDERS' SALES COMPANY

Policy for Medication, Prohibited Practices, Riding Crops and Horseshoes (Updated January 2019)

Medication

All medication administered to a horse within 72 hours of an under tack show or beginning of a sales session must be reported the earlier of 12 hours after treatment or 1 hour **prior to an under tack show and/or sales session**. The reports must be signed by a Florida licensed veterinarian and submitted to the OBS main office or Repository. The reports must identify the medication and the amount, strength and mode of administration; the date and time of administration; and the diagnosis and/or reason for treatment. Medications may only be administered at or below manufacturers recommended dosages.

Under tack show – controlled therapeutic medication guidelines

The administration of nonsteroidal anti-inflammatory drugs (NSAIDs) and bronchodilators must be in accordance with the Association of Racing Commissioners International (RCI) schedule for controlled therapeutic medications. **No medication may be administered within 24 hours of a horse's under tack show performance**.

Additional restrictions/clarifications:

- 1) No more than two (2) non-steroidal anti-inflammatory drugs (NSAID) may be present in a test sample.
 - a) If two NSAIDs are administered prior to an under tack show, RCI stacking thresholds will apply.b) Firocoxib (Equioxx) has a withdrawal guideline of 14 days
- 2) No more than one (1) corticosteroid may be present in a test sample.

3) Intra-articular injections are prohibited on the sales grounds.

- 4) Bronchodilators
 - a) Clenbuterol may not be administered within **14 days** of an under tack show or sales session in which the horse is entered.
 - b) Albuterol may only be administered intra-nasal per RCI dosage specifications and may not be administered within 72 hours of an under tack show or sales session in which the horse is entered. Administration of albuterol by any means other than intra-nasally has a high likelihood in resulting in a positive finding.
 - c) No other bronchodilator may be administered within 72 hours of an under tack show or sales session in which the horse is entered.

5) The following exogenous anabolic steroids or their esters may not be administered within 45 days of sale:

Stanozolol, Boldenone, Nandrolone, or Testosterone. The administration of any other exogenous anabolic steroid at any time is prohibited.

6) The following medications may **NOT** be administered on the sales grounds:

- a) All substances classified as either Class 1 or Class 2 by the Association of Racing Commissioners International (RCI).
- b) Furosemide (Salix) (except for horses that have raced on Furosemide)
- c) Procaine Penicillin

Please note: Fluphenazine (Prolixin), Reserpine (Serpasil), Carisoprodol (Soma, Rela) are class 2 medications. Many herbal supplements contain prohibited substances including bronchodilators and stimulants.

Please review these medication policies with your veterinarian to assure compliance.

- ARCI Uniform Classification Guideline version 13.4.1
- ARCI-controlled-therapeutic-medications-schedule version 4.0
- Florida Statute 535.08:

These are also available at the OBS office and accessible on the OBS website under consignor information.

Testing

1) Post-breeze random testing will be performed on horses selected at the sole discretion of OBS.

- 2) Buyers may request testing for exogenous anabolic steroids at the time of purchase and for undisclosed medication within 24 hours of purchase.
- 3) Test results will be submitted to the Department of Agriculture as required. The consignor is subject to penalties described in Florida Statute Chapter 535.08 including being charged with a misdemeanor and barred from selling for a period of 2 years.

Prohibited Practices

Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal:

1) Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy

2) Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function

3) **Electrical devices** designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.

4) Any invasive practice which intentionally conceals a material defect or chronic lameness.

5) Internal Blister or other injections to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.

Use of a Riding Crop and Spurs at an Under Tack Show

At any time after the starting pole which the horse begins to breeze (i.e. 1/8, 1/4, or 3/8), a rider may only use the riding crop while both hands are holding the reins and may not strike the horse behind the girth. A rider is prohibited from striking the horse in any manner beyond the finish line. In situations where the safety of the horse or rider is in jeopardy, a riding crop may be used in front of the girth. Excessive whipping before the beginning of the work or in preparation for a breeze is prohibited. Spurs are not allowed at an under tack show. Any infraction of this rule may result in a fine of \$500 fine to be paid by the consignor. Chronic offenders may be banned from riding on the sales grounds. The sales company or its representatives have full, final and sole authority to interpret and enforce this rule. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of Ocala Breeders' Sales Company.

Horseshoes

A horse at a two-year-old in training sale may not train with front shoes that have toe grabs which exceed 2mm, or bends, turndowns, stickers, jar caulks or any other traction device. Turn-downs of more than ¼" will not be permitted on hind shoes. For training on the Safetrack surface, OBS recommends the use of only flat Queen's Plate, Queen's Plate XT or equivalent.

Summary of RCI recommended withdrawal times for administration of NSAIDs and Bronchodilators

Refer to ARCI-controlled-therapeutic-medications-schedule version 4.0

NSAIDs – no more than 2 may be present in a test sample

Diclofenac (Surpass)

48 hours

- Five inch ribbon topical application of 1% diclofenac liposomal cream formulation
- 5 nanograms per milliliter of plasma or serum

Firocoxib (Equioxx)

14 days

- Oral administration as EQUIOXX oral paste at a daily dose of 0.1 milligram per kilogram for four days
- 20 nanograms per milliliter of plasma or serum

Flunixin (Banamine)

32 hours 48 hours secondary anti-stacking withdrawal guideline

- Single intravenous dose of flunixin as Banamine (flunixin meglumine) at 1.1 milligram per kilogram
- 20 nanogram per milliliter of plasma or serum
- Secondary anti-stacking threshold: 3.0 nanograms per milliliter of plasma or serum

Ketoprofen (Ketofen, Orudis)

rudis)24 hours48 hours secondary anti-stacking withdrawal guideline

- Single intravenous dose of ketoprofen as Ketofen at 2.2 milligrams per kilogram
- 2 nanograms per milliliter of plasma or serum
- Secondary anti-stacking threshold: 1 nanogram per milliliter of plasma or serum

Phenylbutazone (Bute)

- 24 hours 48 hours secondary anti-stacking withdrawal guideline
- Single intravenous dose of phenylbutazone at 4.0 milligrams per kilogram
- 2 micrograms per milliliter of plasma or serum
- Secondary anti-stacking threshold: 0.3 micrograms per milliliter of plasma or serum

Please note that if two NSAIDs are administered the test samples may contain one of the NSAIDs listed above at a concentration up to the primary threshold and the sample may also contain another one of the NSAIDs but Flunixin, Ketoprofen, or Phenylbutazone must be at a concentration up to the Secondary Threshold.

Bronchodilators

Albuterol (Proventil, Ventolin)

- 72 hours 720 micrograms total dose intra-nasal only. Based upon dosing up to 4 times per day
- 1 nanogram per milliliter of plasma or serum
- Administration of albuterol by any means other than intra-nasally has a high likelihood in resulting in a positive finding. This specifically includes oral administration. Veterinarians are cautioned against using oral albuterol.

Clenbuterol (Ventipulmin)

- 14 days Oral administration of clenbuterol as Ventipulmin[®] syrup at 0.8 mcg/kg twice a day
- 140 picograms per milliliter of urine or Level of Detection in plasma or serum

Medication and Prohibited Practices Penalties

- 1) If a positive test result is received and a confirmation test is not requested or is confirmed by a laboratory selected by OBS for the administration of:
 - a) Any exogenous anabolic steroids administered within 45 days;
 - b) Undisclosed medication administered within 72 hours;
 - c) An RCI class 1 or 2 medication, Salix, procaine penicillin;
 - d) Clenbuterol administered within 14 days, or any other bronchodilator administered within 72 hours;

The purchaser shall have the right to rescind the sale and the consignor shall refund any sales proceeds paid to consignor by OBS and pay the purchaser or OBS all proper expenses and legal fees incurred by either of them from the time of the sale until the return of the horse to the consignor or consignor's owner.

If the horse did not go through the auction ring, the horse shall be withdrawn from the sale and shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

If the horse went through the auction ring and was not sold, the horse shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

- 2) Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal and the consignor shall be responsible for expenses incurred by the purchaser and for OBS fees, penalties, and expenses:
 - a) Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy
 - b) Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function
 - c) Electrical devices designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
 - d) Any invasive practice which intentionally conceals a material defect or chronic lameness.
 - e) Internal Blister or other injections to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.
- 3) The consignor will be subject to the penalties in section four (4) if a positive test result is received and a split sample test is not requested or is confirmed by a laboratory selected at the sole discretion of OBS which indicates the administration of:
 - a) Nonsteroidal anti-inflammatory drugs (NSAID) or bronchodilators at levels above the threshold indicated in the RCI Controlled Therapeutic Medication Schedule
 - b) Any medication within 24 hours of the horse's under tack show performance
 - More than one (1) corticosteroid c)
 - d) More than two (2) non-steroidal anti-inflammatory drugs (NSAIDs)
- 4) The consignor will be subject to the following penalties for positive test results in section One (1) and Two (2); or prohibited practices in section Three (3):
 - a) 1st offense Warning with a maximum fine of \$1,000; possible increased testing of consignors' horses at consignor expense.
 - b) 2nd offense Warning with a maximum fine of \$1,500; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 1 year to be determined by OBS Board of Directors.
 - c) 3rd offense Fine of \$500 to \$2,500 absent mitigating circumstances; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 2 years to be determined by OBS Board of Directors.
- Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of the sales 5) company. Fines will be deducted from proceeds when available. Failure to pay fines may result in suspension from participating in OBS Sales.

TRACK AND UNDER TACK SHOW RULES

Under tack show

- The optional under tack show will be Monday January 28 at 10:00AM.
- Older horses may gallop or breeze a minimum of 3/8 of a mile for an official time. Two year olds will not be timed.

Use of a Riding Crop and Spurs at an Under Tack Show

At any time after the starting pole which the horse begins to breeze (i.e. 1/8, 1/4, or 3/8), a rider may only use the riding crop while both hands are holding the reins and may not strike the horse behind the girth. A rider is prohibited from striking the horse in any manner beyond the finish line. In situations where the safety of the horse or rider is in jeopardy, a riding crop may be used in front of the girth. Excessive whipping before the beginning of the work or in preparation for a breeze is prohibited. Spurs are not allowed at an under tack show. Any infraction of this rule may result in a fine of \$500 fine to be paid by the consignor. Chronic offenders may be banned from riding on the sales grounds. The sales company or its representatives have full, final and sole authority to interpret and enforce this rule. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of Ocala Breeders' Sales Company.

Safety vests and helmets

OBS requires <u>ALL</u> riders to wear an approved safety vest and helmet while on horseback. This applies to anyone aboard any horse (ponies included). Safety vests must be zipped and helmet straps fastened at all times including to and from the racetrack and in the shed row.

Track rules

- Do not jog horses on the horse path.
- Stay off the rail unless you are breezing.
- OBS saddle towels should be on sale horses by Friday, January 25th.

Track hours -- Sales horses may ship in Wednesday, January 23rd

Main racetrack: Training hours from 6:30 AM until noon except:

Monday January 28: open from 6:30 AM until 9:00 AM due to the under tack show.

Training racetrack: will remain open all days from 6:30 AM until noon.

Medication reports

Must be submitted the earlier of 12 hours after treatment or 1 hour prior to the start of the under tack show or sale session. (*MEDICATION REPORTS FOR ALL HORSES MUST BE SUBMITTED BEFORE THE UNDER TACK SHOW STARTS*).

Wash racks/pens

Wash racks are no longer allowed on the sales grounds in order to allow ample room for consignors to show their horses and help the overall look of the grounds.

OCALA BREEDERS' SALES COMPANY

P.O. Box 99 Ocala, FL 34478 Fed-Ex and Express Mail: 1701 SW 60th Ave. Ocala, FL 34474 (352) 237-2154 Fax: (352) 237-3566 E-mail: obs@obssales.com website: www.obssales.com

OBS JANUARY 2019

Horse(s) represented on this certificate of Veterinary Inspection:

Have not originated from a barn with a confirmed or suspect case of equine herpes virus or strangles, nor have shown signs suggestive of these diseases, nor have been febrile within the previous 3 weeks.

Have not originated from nor been stabled on a premise under quarantine or restriction due to EHV-1 or from a premise which has had herpes virus diagnosed during the previous 30 day period.

Have been vaccinated against EHV-1 no less than 14 days and no greater than 90 days.

Consignor: _____

| <u>Hip #</u> | <u>Sire/Dam</u> | <u>Vaccination type</u> (Product name) | <u>Date</u> |
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| Veterinar | <u>ian:</u> | | |
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Veterinarian (please print):

OCALA BREEDERS' SALES COMPANY JANUARY 2019 HORSES OF RACING AGE OPTIONAL UNDER TACK SHOW

CONSIGNOR: _____

BARN:

OLDER HORSES MAY GALLOP OR BREEZE A MINIMUM OF 3/8 OF A MILE FOR AN OFFICIAL TIME. TWO YEAR OLDS WILL NOT BE TIMED.

If you plan to breeze your horses as a set, please list on the same line.

Use of a Riding Crop and Spurs at an Under Tack Show

At any time after the starting pole which the horse begins to breeze (i.e. 1/8, 1/4, or 3/8), a rider may only use the riding crop while both hands are holding the reins and may not strike the horse behind the girth. A rider is prohibited from striking the horse in any manner beyond the finish line. In situations where the safety of the horse or rider is in jeopardy, a riding crop may be used in front of the girth. Excessive whipping before the beginning of the work or in preparation for a breeze is prohibited. Spurs are not allowed at an under tack show. Any infraction of this rule may result in a fine of \$500 fine to be paid by the consignor. Chronic offenders may be banned from riding on the sales grounds. The sales company or its representatives have full, final and sole authority to interpret and enforce this rule. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of Ocala Breeders' Sales Company.

RETURN THIS FORM BY NOON, FRIDAY, JANUARY 25th

10:00 AM MONDAY JANUARY 28th (HIP NUMBERS 187 - 350)

| <u>SET</u> | HIP | | <u>SET</u> | HIP | |
|--------------|-----------|------|------------|-----------|-------------|
| ORDER | NUMBER(S) | DIST | ORDER | NUMBER(S) | DIST |
| 1 | | | 11 | | |
| 2 | | | 12 | | |
| 3 | | | 13 | | |
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| 8 | | | 18 | | |
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| 10 | | | 20 | | |

PLEASE LIST HORSES THAT WILL NOT GO IN THE UNDER TACK SHOW:

_____, ____, ____, ____, ____, ____, ____, ____, ____, ____,

OBS Repository Rules and Information

The Repository is located at the end of Barn 5 near the maintenance office

GENERAL INFORMATION

THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY.

The Repository is established for consignors to deposit radiographs and other relevant information for inspection by prospective Purchasers' registered veterinarians. The deposit and inspection of information, and access to the Repository are subject to the Conditions of Sale. Below is a summary of the Conditions of Sale concerning the Repository. (Condition Thirteenth) Please refer to the full version of the Conditions of Sale which may be found in the OBS catalog and the OBS website.

Summary of Repository Conditions (OBS Condition Thirteenth)

- Repository is voluntary
- Consignor warrants all information placed in Repository is accurate, valid and authentic or horse may be subject to return
- All information placed in the Repository is the property of the Consignor or Consignor's veterinarian and may be picked up 72 hours after the fall of the hammer. Consignor may grant permission for the Purchaser to receive the information
- Purchasers are responsible for conducting a full inspection of horses and Repository information
- Purchasers acknowledge Veterinary Radiographic Reports are;
 - o Subjective veterinary opinions
 - NOT a substitute for a review of actual radiographs
 - OBS will NOT review or warrant Repository information

Consignor access to repository activity

- Consignors will be provided a unique URL to obtain Repository activity information on each horse in their consignment via the internet from a computer or mobile device.
- Consignors must complete a Consignor Authorization form to allow employees to obtain printed Repository activity reports from the Repository. (see attachment 5)

RULES FOR SUBMISSION

- Radiographs must not be taken any earlier than twenty one (21) days prior to the start of the sale and delivered to the Repository along with all other veterinary information by the deadlines outlined on the attached calendar. (see attachment 1)
- See **attachment 2** for the prescribed views for the Repository. Any submission with less than the prescribed views will not be accepted. Consignors may include additional views to augment the submission.
- Radiographs must be submitted in the format outlined by Asteris Veterinary Digital Imaging Keystone Repository software either online or directly to the OBS Repository. All other information should be labeled with the hip number, dam's name and entered horse's year of birth.
- A Veterinary Radiographic Report must be submitted in addition to the radiographs. OBS will supply Consignors with a "stamped" copy of the Report. THIS IS THE ONLY REPORT A CONSIGNOR MAY USE IN PRESENTING INFORMATION TO A POTENTIAL PURCHASER.
- AFTER THE SUBMISSION DEADLINE ADDITIONAL VETERINARY INFORMATION WILL NOT BE ACCEPTED AND MUST BE ANNOUNCED FROM THE AUCTION STAND.

RULES FOR VIEWING RADIOGRAPHS

- Each veterinarian desiring to inspect the Radiographs on behalf of their client, must register in person at the Repository by completing a Veterinarian Registration form. Once completed they will receive a user name and password valid for the current sale only. Veterinarians are required to register with OBS in person for each sale.
- The user name and password will allow veterinarians to view radiographs in the Repository as well as remotely via the Asteris website.
- THE USER NAME AND PASSWORD ARE FOR SINGLE USERS ONLY. VETERINARIANS ARE PROHIBITED FROM SHARING THEIR USER NAME AND PASSWORD WITH ANYONE ELSE (INCLUDING INTERNS AND EXTERNS) REGARDLESS IF THEY ARE REGISTERED USERS OR NOT.
- For security purposes, the Repository software has tracking capabilities for the protection of the integrity of the repository process.
- NO PERSON MAY REMOVE, COPY OR REPRODUCE THE RADIOGRAPHS FROM THE REPOSITORY.

SUMMARY OF OBS CONDITIONS SEVENTH AND EIGHTH

THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY

Below is a summary of issues that must be announced, and issues that may be placed in the Repository or announced per Condition Seventh and Eighth of the Conditions of Sale. Please refer to the full version of the Conditions of Sale which may be found in the OBS catalog and the OBS website.

ANNOUNCEMENT REQUIRED whether or not the information is in the Repository

- (Warranty expiration in parentheses)
 - Cribber (7 days)
 - Ridgling, Gelding, or removal of testicle(s) (48 hours)
 - Spayed filly or mare (48 hours)
 - Starter's, Steward's, Veterinarian's List (45 days)
 - Breeding status correction (24 hours)
 - Reproductive surgeries within two years of date of sale (14 days)
 - o C-Section
 - o Cervix surgery

- Removal of an ovary
- o Urethral extension

PLACED IN REPOSITORY OR Announcement allowed (Warranty expiration in parentheses)

- Impaired vision (48 hours)
- Invasive Joint Surgery: 2YO or less or unraced racing prospects, excluding horses that have raced or being sold for breeding purposes only (7 days)
- Check Ligament Surgery (48 hours)
- Throat Surgery: 2YO or less or unraced racing prospects, excluding horses that have raced or being sold for breeding purposes only (7 days)
- Abdominal Surgery within 2 years or resection at any time (7 days)
- Nerved: excluding horses sold for breeding purposes only (48 hours)

Conditions which are returnable that may NOT be announced or placed in repository (Warranty expiration in parentheses)

- Scope findings: After July 1st of a horse's yearling year, excluding horses that have raced or being sold for breeding purposes only (48 hours)
 - Epiglottic entrapment
 - Unable to fully abduct
 - Severe chondritis or deformed arytenoid
 - Sub-epiglottic cyst

- o Persistent Displacement soft palate
- Rostral displacement
- palatopharyngeal arch Cleft Palate
- Cleft Palate
 Other leasing
- \circ $\;$ Other lesions which obstructs air flow $\;$

- Wobbler (7 days)
- Acute Laminitis: excluding horses sold for breeding purposes only (48 hours)
- Prohibited Practices (48 hours)
 - o Shockwave
 - o Acupuncture for throat function
 - o Injection behind knee to conceal true conformation
 - o Electrical device during training or under tack
 - o Invasive practice concealing material defect or chronic lameness

Attachment 1 REPOSITORY SUBMISSION DEADLINES FOR 2019 CURRENT SALE SCHEDULE

| Sale | Sale Date | Submitted by | | Available | Available to View | |
|---------------------|-------------------------------------|----------------------------------|--------------------|-----------------------------------|----------------------|--|
| Winter Mixed Sale | : January 29 – 30, 2019 | 9 (No radiographs accept | ted dated prior to | January 8 th) | | |
| Mixed Horses HRA | selling Jan 29-30 selling Jan 29 | Friday, Jan 25 Monday, Jan 28 | 3:00 pm 5:00 pm | Sunday, Jan 27 Tuesday, Jan 29 | 12:00 pm 10:00 am | |

Attachment 2

MINIMUM REQUIRED VIEWS

| CARPUS | DICOM Tag- Left | DICOM Tag-Right |
|---|-----------------------------------|------------------------------------|
| Lateral/Medial Oblique (30° - 40°) (Dorsolateral 35°, to palmar medial oblique) | L Carpus DLPMO | R Carpus_ DLPMO |
| Medial/Lateral Oblique (20° - 30°) (Dorsomedial 25°, to palmar lateral oblique) | L Carpus_ DMPLO | R Carpus_DMPLO |
| Flexed Lateral (Flexed lateral to medial) Third Carpal Skyline View (2YO Only) | L Carpus_FLM L Carpus_Sky_DRow | R Carpus_ FLM R Carpus _SkyDRow |
| <u>FETLOCK</u> FRONT: | | |
| AP elevated 15° (Dorsal, 15 elevated, to palmar) | L FFetlock_ DP | R FFetlock DP |
| Medial Oblique (Dorsomedial 30°, to palmar lateral oblique) | L FFetlock_DMPLO | R FFetlock_DMPLO |
| Lateral Oblique (Dorsolateral 30°, to palmar medial oblique) | L FFetlock_ DLPMO | R FFetlock_DLPMO |
| Lateral (Flexed lateral to medial) | L FFetlock_FLM | R FFetlock_FLM |
| Lateral (Standing lateral to medial) HIND: | L FFetlock_SLM | R FFetlock_SLM |
| AP elevated 15° (Dorsal, 15° elevated, to plantar) | L HFetlock_DP | R HFetlock_DP |
| Medial Oblique (Dorsomedial 30°, 15° elevated to plantar lateral oblique) | L HFetlock_DMPLO | R HFetlock_ DMPLO |
| Lateral Oblique (Dorsolateral 30°, 15° to palmar medial oblique) | L HFetlock_ DLPMO | R HFetlock_DLPMO |
| Standing Lateral to medial | L HFetlock _LM | R HFetlock _LM |
| NOTE: obliques should be elevated slightly to separate | the sesamoid and P1 int | terface |
| HOCK Medial/Lateral Oblique (or 15° PALMO) (Dorsomedial 65° to plantar lateral oblique) -or- (Plantaro-lateral 25° to dorsomedial oblique) | L Hock _DMPLO | R Hock_DMPLO |
| • Off Center AP (Slightly Lateral) (Dorsolateral 10° to plantar medial) | L Hock_CrCa | R Hock_CrCa |
| Lateral to Medial | L Hock_ LM | R Hock_ LM |
| STIFLE | | |
| Lateral to Medial | L Stifle_LM | R Stifle_LM |
| • 20° PALMO (Posterior lateral 20° to anterior medial oblique must | L Stifle_CaLCrMO | R Stifle_CaLCrMO |
| include medial femoral condyle in its entirety) | | |

• PA (Posterior/Anterior)

L Stifle_ PA

R Stifle_PA

Attachment 5

CONSIGNOR AUTHORIZATION FORM

| | SALE |
|---------|--|
| Consign | or: |
| Address | : |
| Phone: | email: |
| Please | initial only if you wish to confirm the following: |
| | _I hereby instruct OBS to restrict access to Repository information on the horses in my consignment to Licensed veterinarians. |
| | _I hereby authorize OBS to give to the Purchaser of a horse from my consignment the radiographs in the Repository. |
| Please | identify Consignor Representatives below that may have access to one or both of the following: |
| Name | |
| | _I authorize the above person to receive the activity of our files in the Repository. |
| | I authorize the above person to pick up the information placed in the Repository (radiographs, certificates, etc.) on horses in my consignment no sooner than 72 hours after horse is sold. |
| Name | |
| | _I authorize the above person to receive the activity of our files in the Repository. |
| | I authorize the above person to pick up the information placed in the Repository (radiographs, certificates, etc.) on horses in my consignment no sooner than 72 hours after horse is sold. |
| Consign | or's Signature |
| Date | |

These forms are available in the Repository and on the OBS website. Please return this completed form to the Repository.

| PRACTICE: | | Ð |
|----------------------|--|---|
| ADDRESS: | | |
| | |) |
| PHONE: | | |
| R | eport of Radiographic Findings | |
| Doctor: | Date: Sale: Hip | |
| # Name: _ | Location: | |
| Client/Consignor: | | |
| INTERPRETATION: | Note: NSA = no significant abnormalities | |
| Left Front Fetlock: | | |
| Right Front Fetlock: | | |
| Left Carpus: | | |
| Right Carpus: | | |
| Left Hind Fetlock: | | |
| Right Hind Fetlock: | | |
| Left Tarsus: | | |
| Right Tarsus: | | |
| Left Stifle: | | |
| Right Stifle: | | |
| Additional Comments: | | |
| I (do) (do not) h | ave direct financial interest in all or any part of this horse | |

This report and the findings contained herein are solely for the addressee and may not be used or relied upon by any other person or entity without the express written consent of _______. The interpretation of radiographs and findings may vary with the examiner, the type of examination requested, method of examination and a horse's changing condition. This is a report of the undersigned's findings, based on the type of examination requested by the addressee, on the date indicated. This report is limited to the findings contained herein and no other findings or opinions should be inferred beyond those expressly set forth herein. This report does not constitute a warranty or guarantee of any kind. This report is for use on horses intended for public auction while meeting the requirements of repositories currently in operation. It is not intended to be all inclusive but is meant to supply a workable format of reporting.



Digital Certificates - Frequently Asked Questions

1. How will I receive my Digital Certificate(s)?

When you log on to your Jockey Club Interactive Registration account, you will see an option under **DIGITAL CERTIFICATES** labeled **CERTIFICATE MANAGEMENT** that will display the certificates currently assigned to your Interactive Registration account. Sign up at www.registry.jockeyclub.com

2. Who is assigned the Digital Certificate?

Digital Certificates are assigned to a Certificate Manager, a person or entity authorized to control the disposition of a Digital Certificate. The Certificate Manager may or may not be the owner of the horse.

3. How will I forward my Digital Certificate to a sales company, racetrack, or other entity that requires the Certificate of Foal Registration?

The Certificate Manager will be able to assign the certificate to another party by selecting the appropriate entity or person, clicking the "Assign Certificate" button and then following the prompts to complete the assignment.

4. How will I know when a Digital Certificate has been assigned to my account?

An email notification will be sent shortly after a digital certificate(s) has been assigned to your Interactive Registration account.

5. Will all of my horses be issued a Digital Certificate?

Foals of 2018 and after will be issued Digital Certificates.

6. What if a mistake was made when assigning a Digital Certificate?

If a mistake was made when assigning a Digital Certificate the assignor should contact The Jockey Club for assistance at <u>inquiries@jockeyclub.com</u>.

7. How can I determine the current Digital Certificate Manager of a Thoroughbred?

When you log on to your Jockey Club Interactive Registration account, you will see an option under **REGISTRATION** labeled **CHECK REGISTRATION STATUS** that will display the current Digital Certificate Manager for foals of 2018 and later.

8. Does the ownership data recorded with the assignment of a Digital Certificate prove title to the horse?

No. The Jockey Club is a pedigree registry for Thoroughbred horses, not an ownership or title registry. Accordingly, any information presented by The Jockey Club concerning the "owner" of a horse is based solely upon information provided by others and is in no way warranted or otherwise offered by The Jockey Club as being a representation of who the actual owner or title holder of a particular Thoroughbred is.

For further questions regarding Digital Certificates, please contact the Registry at 800-444-8521 or 859-224-2700, Mon-Fri, 9am-4pm EST